

### UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 www.uspto.gov

| APPLICATION NO.             | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.     | CONFIRMATION NO. |  |
|-----------------------------|---------------|----------------------|-------------------------|------------------|--|
| 09/810,919                  | 03/16/2001    | Ronald E. Pelrine    | 58255-8028.US00         | 7145             |  |
| 75                          | 90 09/26/2002 |                      |                         |                  |  |
| PERKINS CO                  | IE LLP        | EXAMINER             |                         |                  |  |
| P.O. BOX 2168<br>MENLO PARK |               | WEBER, JON P         |                         |                  |  |
|                             |               |                      | ART UNIT                | PAPER NUMBER     |  |
|                             |               |                      | 1651                    |                  |  |
|                             |               |                      | DATE MAILED: 09/26/2002 | (s               |  |

Please find below and/or attached an Office communication concerning this application or proceeding.

BEST AVALLABLE COPA

|   |  |   |               |                      |                     | A 1: 4/- \                                     | <del></del>  |  |  |
|---|--|---|---------------|----------------------|---------------------|--|--------------|--|--|
| \<br>   |  |   | A             | Applicati n N .      |                     | Applicant(s)                                   |              |  |  |
|   |  |   |               | 09/810,919           |                     | PELRINE ET AL.                                 |              |  |  |
|   | Οπις   | Action Summary  | E             | xamin r              |                     | Art Unit                                       |              |  |  |
|   |  |   |               | on P. Weber,         |                     | 1651   | _            |  |  |
|   | The MAILING DATE of this communication appears on the c ver sheet with the c rrespondence address Period for Reply |   |               |                      |                     |  |              |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  - Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b). |  |   |               |                      |                     |  |              |  |  |
| 1)  | Respons  | sive to communication(s) fi   | led on        |                      |                     |  |              |  |  |
| 2a) <u></u> □   | This act   | ion is <b>FINAL</b> .   | 2b)⊠ This a   | action is nor        | -final.             |  |              |  |  |
| 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.  |  |   |               |                      |                     |  |              |  |  |
| Disposition of Claims   |  |   |               |                      |                     |  |              |  |  |
| •   | 4) Claim(s) 1-90 is/are pending in the application.  |   |               |                      |                     |  |              |  |  |
|   | 4a) Of the above claim(s) is/are withdrawn from consideration.   |   |               |                      |                     |  |              |  |  |
| •   | 5) Claim(s) is/are allowed.  |   |               |                      |                     |  |              |  |  |
| •   |  | is/are rejected.  |               |                      | DECT AV             | ailable C                                      | COPY         |  |  |
| ·   |  | is/are objected to.   |               |                      |                     | HILL LE  | <b>, ,</b> , |  |  |
| 8)⊠<br>Application  | • •  | <u>1-90</u> are subject to restricti  | on and/or ele | ction require        | ment.               |  |              |  |  |
| • •   | •  | s<br>fication is objected to by th  | e Evaminer    |                      |                     |  |              |  |  |
| <i>,</i> —  | •  | ng(s) filed on is/are:  |               | d or b) abi          | ected to by the Exa | miner  |              |  |  |
| ا ليــا(١٥  |  |   |               |                      |                     |  |              |  |  |
| Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  11) The proposed drawing correction filed on is: a) approved b) disapproved by the Examiner.   |  |   |               |                      |                     |  |              |  |  |
| If approved, corrected drawings are required in reply to this Office action.  |  |   |               |                      |                     |  |              |  |  |
| 12) The oath or declaration is objected to by the Examiner.   |  |   |               |                      |                     |  |              |  |  |
| Priority under 35 U.S.C. §§ 119 and 120   |  |   |               |                      |                     |  |              |  |  |
| 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).   |  |   |               |                      |                     |  |              |  |  |
| a) ☐ All b) ☐ Some * c) ☐ None of:  |  |   |               |                      |                     |  |              |  |  |
|   | 1. Certified copies of the priority documents have been received.  |   |               |                      |                     |  |              |  |  |
|   | 2. Certified copies of the priority documents have been received in Application No                                 |   |               |                      |                     |  |              |  |  |
| <ul> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>   |  |   |               |                      |                     |  |              |  |  |
| 14)⊠ Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).   |  |   |               |                      |                     |  |              |  |  |
| a) The translation of the foreign language provisional application has been received.  15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.   |  |   |               |                      |                     |  |              |  |  |
| Attachment(s)   |  |   |               |                      |                     |  |              |  |  |
| 1) Notice   | e of Referer<br>e of Draftsp   | nces Cited (PTO-892)<br>erson's Patent Drawing Review (I<br>osure Statement(s) (PTO-1449) F |               | 4) [<br>5) [<br>6) [ |                     | v (PTO-413) Paper No<br>Patent Application (PT |              |  |  |

Application/Control Number: 09/810,919

Art Unit: 1651

### Status of the Claims

Claims 1-90 have been presented for examination.

## BEST AVAILABLE COPY

#### Election/Restrictions

Restriction to one of the following inventions is required under 35 U.S.C. 121:

- I. Claims 1-17, 36-51, 66-78, 80-83, drawn to a first apparatus for moving microparticles having multiple stations and a drive element to move the microparticles, classified in class 204, subclass 600, and class 435, subclass 287.3.
- II. Claims 18-31, 85-88, drawn to a second apparatus for moving microparticles having multiple stations connected by tracks and a drive element to move the microparticles, classified in class 204, subclass 601 and class 435, subclass 288.5.
- III. Claims 32-34, 90, drawn to a method of moving microparticles using the apparatus of Group I, classified in class 204, subclass 450.
- IV. Claims 35, 89, drawn to a method of moving microparticles using the apparatus ofGroup II, classified in class 204, subclass 451.
- V. Claims 55-65, drawn to a microparticle with electric or magnetic dipoles,
   unclassifiable because there is no structure on composition.
- VI. Claim 79, drawn to a method of ejecting a microparticle from a liquid, classified in class 204, subclass 554+.
- VII. Claim 84, drawn to a controller, classified in class 706, subclass 3+.

The inventions are distinct, each from the other because of the following reasons:

Application/Control Number: 09/810,919

Art Unit: 1651

# BEST AVAILABLE COPY

Inventions I and III, and II and IV are related as process and apparatus for its practice. The inventions are distinct if it can be shown that either: (1) the process as claimed can be practiced by another materially different apparatus or by hand, or (2) the apparatus as claimed can be used to practice another and materially different process. (MPEP § 806.05(e)). In this case the apparatus of I or II could be used in methods III or IV.

Inventions I, V and VII are unrelated. Inventions are unrelated if it can be shown that they are not disclosed as capable of use together and they have different modes of operation, different functions, or different effects (MPEP § 806.04, MPEP § 808.01). In the instant case the different inventions: the microparticle and controller could be used independent of the apparatus. A controller can control many different devices depending on the programming. The apparatus are distinct be virtue of the tracks or channels between the workstations in Group II, that do not seem necessary for Group I. The method of Group VI is independent of the other methods.

Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.

Applicant is advised that the reply to this requirement to be complete must include an election of the invention to be examined even though the requirement be traversed (37 CFR 1.143).

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the

Art Unit: 1651

application. Any amendment of inventorship must be accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon P. Weber, Ph.D. whose telephone number is 703-308-4015. The examiner can normally be reached on daily, off 1st Fri, 9/5/4.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Wityshyn can be reached on 703-308-4743. The fax phone numbers for the organization where this application or proceeding is assigned are 703-872-9306 for regular communications and 703-872-9307 for After Final communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is 703-348/0196.

Jon P. Weber, Ph.D. Primary Examiner Art Unit 1651

JPW September 23, 2002